



# MATHEMATICAL ANALYSIS

# **MODULE- 2**

## **OPEN SETS, CLOSED SETS AND COUNTABLE SETS**



## NEIGHBOURHOOD OF A POINT

A set  $N \subseteq R$  is called the neighbourhood of a point  $a$ , if there exists an open interval  $I$  containing  $a$  and contained in  $N$ , i.e.,

$$a \in I \subseteq N$$

An open interval is a neighbourhood of each of its points. We shall take the open interval  $(a - \delta, a + \delta)$  where  $\delta > 0$  as a neighbourhood of the point  $a$ .

## DELETED NEIGHBOURHOODS

The set  $\{x : 0 < |x - a| < \delta\}$  i.e., an open interval  $(a - \delta, a + \delta)$  from which the number  $a$  itself has been excluded or deleted is called a deleted neighbourhood of  $a$ .

# ILLUSTRATIONS

1. The set  $\mathbf{R}$  of real numbers is the neighbourhood of each of its points.
2. The set  $\mathbf{Q}$  of rationals is not the nbd of any of its points.
3. The open interval  $(a, b)$  is nbd of each of its points.
4. The closed interval  $[a, b]$  is the nbd of each point of  $(a, b)$  but is not a nbd of the end points  $a$  and  $b$ .
5. The null set  $\phi$  is a nbd of each of its points in the sense that there is no point in  $\phi$  of which it is not a nbd.



EXAMPLE 1. A non- empty finite set is not a nbd of any point.

A set can be a nbd of a point if it contains an open interval containing the point. Since an interval necessarily contains an infinite number of points, therefore, in order that a set be a nbd of a point it must necessarily contain an infinity of points. Thus a finite set cannot be a nbd of any point.

EXAMPLE 2. Superset of a nbd of a point  $x$  is also a nbd of  $x$ . i.e., if  $N$  is a nbd of a point  $x$  and  $M \supseteq N$  then  $M$  is also a nbd of  $x$ .

EXAMPLE 3. Union (finite or arbitrary) of nbds of a point  $x$  is again a nbd of  $x$ .

EXAMPLE 4. If  $M$  and  $N$  are nbds of a point  $x$ , then show that  $M \cap N$  is also a nbd of  $x$ .

Soln. Since  $M, N$  are nbds of  $x$ ,  $\exists$  open intervals enclosing the point  $x$  such that

$$x \in (x - \delta_1, x + \delta_1) \subseteq M \text{ and } x \in (x - \delta_2, x + \delta_2) \subseteq N$$

Let  $\delta = \min(\delta_1, \delta_2)$ . Then

$$(x - \delta, x + \delta) \subseteq (x - \delta_1, x + \delta_1) \subseteq M \text{ and } (x - \delta, x + \delta) \subseteq (x - \delta_2, x + \delta_2) \subseteq N$$

$$\Rightarrow (x - \delta, x + \delta) \subseteq M \cap N$$

$$\Rightarrow x \in (x - \delta, x + \delta) \subseteq M \cap N$$

$$\Rightarrow M \cap N \text{ is a nbd of } x.$$

## INTERIOR POINTS OF A SET

A point  $x$  is an interior point of a set  $S$  if  $S$  is a nbd of  $x$ . In other words  $x$  is an interior point of  $S$  if  $\exists$  an open interval  $(a, b)$  containing  $x$  and contained in  $S$ , i.e.,  $x \in (a, b) \subseteq S$ . Thus a set is a nbd of each of its interior points.

**Interior of a Set.** The set of all interior points of a set is called the interior of the set. The interior of a set is generally denoted by  $S'$  or  $\text{int } S$ .

**Ex.** The interior of the set **N** or **I** or **Q** is the null set, but interior of **R** is **R**.

The interior of a set  $S$  is a subset of  $S$ . i.e.,  $\text{int } S \subseteq S$ .

[  $x \in \text{int } S \Rightarrow x \in (x - \delta, x + \delta) \subseteq S \Rightarrow x \in S$  . i.e.,  $\text{int } S \subseteq S$ . ]

## OPEN SET

A set  $S$  is said to be open if it is a nbd of each of its points, i.e., for each  $x \in S$ , there exist an open interval  $I_x$  such that

$$x \in I_x \subseteq S.$$

Thus every point of an open set is an interior point, so that for an open set  $S$ ,  $\text{int } S = S$ .

Evidently,  $S$  is open  $\Leftrightarrow S = \text{int } S$ .

The set is not open if it is not a nbd of at least one of its points, or that there is at least one point of the set which is not an interior point.



## ILLUSTRATIONS

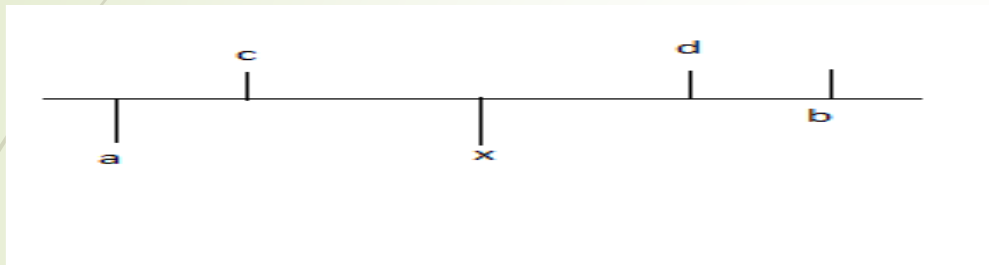
1. The set  $\mathbf{R}$  of real numbers is an open set.
2. The set  $\mathbf{Q}$  of rationals is not an open set.
3. The closed interval  $[a, b]$ , is not open for it is not a neighbourhood of the end points  $a$  and  $b$ .
4. The null set  $\phi$  is open, for there is no point in  $\phi$  of which it is not a neighbourhood.
5. A non-empty finite set is not open.
6. The set  $\{\frac{1}{n} : n \in \mathbf{N}\}$  is not open.

EXAMPLE 5. Show that every open interval is an open set.

Or

Every open interval is a nbd of each of its points.

Let  $x$  be any point of the given open interval  $(a, b)$  so that we have  $a < x < b$ .



Let  $c, d$  be two numbers such that

$$a < c < x \text{ and } x < d < b$$

so that we have

$$a < c < x < d < b \Rightarrow x \in (c, d) \subset (a, b).$$

Thus the given interval  $(a, b)$  contains an open interval containing the point  $x$ , and is therefore a nbd of  $x$ .

Hence, the open interval is a nbd of each of its points and is therefore an open set.

Example 6. Show that every open set is a union of open intervals .

Let  $S$  be an open set and  $x_\lambda$  a point of  $S$  .

Since  $S$  is open, therefore  $\exists$  an open interval  $I_{x_\lambda}$  for each of its points  $x_\lambda$  such that

$$x_\lambda \in I_{x_\lambda} \subseteq S \quad \forall x_\lambda \in S$$

Again the set  $S$  can be thought of as the union of singleton sets like  $\{x_\lambda\}$ , i.e.,

$$S = \bigcup_{\lambda \in \Lambda} \{x_\lambda\}, \text{ where } \Lambda \text{ is the index set}$$

$$\therefore S = \bigcup_{\lambda \in \Lambda} \{x_\lambda\} \subseteq \bigcup_{\lambda \in \Lambda} I_{x_\lambda} \subseteq S$$

$$\Rightarrow S = \bigcup_{\lambda \in \Lambda} I_{x_\lambda}$$

# THEOREM 1: The interior of a set is an open set.

Let  $S$  be a given set, and  $\text{int } S$  its interior.

If  $\text{int } S = \emptyset$  then  $\text{int } S$  is open.

When  $\text{int } S \neq \emptyset$ , let  $x \in \text{int } S$ .

As  $x$  is an interior point of  $S$ , there exist an open interval  $I_x$  such that  $x \in I_x \subseteq S$

But  $I_x$  being an open interval, is a nbd of each of its points .

$\Rightarrow$  Every point of  $I_x$  is an interior point of  $I_x$ , and

$I_x \subseteq S \Rightarrow$  every point of  $I_x$  is an interior point of  $S$

$\therefore I_x \subseteq \text{int } S$

$\Rightarrow x \in I_x \subseteq \text{int } S \Rightarrow$  any point of  $x$  of  $\text{int } S$  is interior point of  $\text{int } S$

$\Rightarrow \text{int } S$  is an open set.

**Corollary: The interior of a set  $S$  is an open subset of  $S$ .**

**THEOREM 2: The interior of a set  $S$  is the largest open subset of  $S$ .**

**Or**

**The interior of a set  $S$  contains every open subset of  $S$ .**

We know that the interior  $\text{int } S$  of a set  $S$  is an open subset of  $S$ . Let us show that any open subset  $S_1$  of  $S$  is contained in  $\text{int } S$ .

Let  $x \in S_1$ .

Since an open set is a nbd of each of its points, therefore  $S_1$  is a nbd of  $x$ .

But  $S$  is a superset of  $S_1$ .

$\therefore S$  is also a nbd of  $x$

$\Rightarrow x$  is an interior point of  $S$

$\Rightarrow x \in \text{int } S$

Thus

$\Rightarrow x \in S_1 \Rightarrow x \in \text{int } S$

$\therefore S_1 \subseteq \text{int } S$

Hence, every open subset of  $S$  is contained in its interior  $\text{int } S$ .

$\Rightarrow \text{int } S$ , the interior of  $S$  is the largest open subset of  $S$ .

**Corollary: Interior of the set  $S$  is the union of all open subsets of  $S$ .**

### THEOREM 3: The union of an arbitrary family of open sets is open.

Let  $F$  be the union of an arbitrary family  $\mathcal{F} = \{S_\lambda : \lambda \in \Lambda\}$  of open sets,  $\Lambda$  being an index set. To prove that  $F$  is open, we shall show that for any point  $x \in F$ , it contains an open interval containing  $x$ .

Let  $x \in F$ . Since  $F$  is the union of the members of  $\mathcal{F}$ ,  $\exists$  at least one member, say  $S_\lambda$  of  $\mathcal{F}$  which contains  $x$ . Again,  $S_\lambda$  being an open set, there exist an open interval  $I_x$  such that

$$x \in I_x \subseteq S_\lambda \subseteq F.$$

Thus the set  $F$  contains an open interval containing any point  $x$  of  $F$

$\Rightarrow F$  is an open set.

## THEOREM 4: The intersection of any finite number of open sets is open.

Let us consider two open sets  $S, T$ .

If  $S \cap T = \emptyset$ , it is an open set.

If  $S \cap T \neq \emptyset$ , let  $x \in S \cap T$ .

Now

$$x \in S \cap T \Rightarrow x \in S \wedge x \in T$$

$\Rightarrow S, T$  are nbds of  $x$  [since  $S, T$  are open]

$\Rightarrow S \cap T$  is a nbd of  $x$ .

But since  $x$  is any point of  $S \cap T$ , therefore  $S \cap T$  is a nbd of each of its points.

Hence  $S \cap T$  is open.

This proof may of course be extended to a finite number of sets.

**Note:** The above theorem does not hold for the intersection of arbitrary family of open sets.

Consider for example the open sets  $S_n = (-\frac{1}{n}, \frac{1}{n})$ ,  $n \in \mathbb{N}$

Their intersection is the set  $\{0\}$  consisting of a single point  $0$ , and this set is not open.

## LIMIT POINTS OF A SET

Definition 1: A real number  $\xi$  is a limit point of a set  $S (\subset \mathbb{R})$  if every nbd of  $\xi$  contains an infinite number of members of  $S$ .

Thus  $\xi$  is a limit point of set  $S$  if for any nbd  $N$  of  $\xi$   $N \cap S$  is an infinite set.

A limit point is also called a cluster point, a condensation point or an accumulation point.

A limit point of a set may or may not be a member of the set. A set may have no limit point, a unique limit point, a finite or an infinite number of limit points.

Definition 2: A real number  $\xi$  is a limit point of a set  $S (\subseteq \mathbb{R})$  if every nbd of  $\xi$  contains at least one member of  $S$  other than  $\xi$ .

A point  $\xi$  is not a limit point of a set  $S$  if  $\exists$  even one nbd of  $\xi$  not containing any point of  $S$  other than  $\xi$ .

**Derived Sets:** The set of all the limit points of a set  $S$  is called the derived set of  $S$  and is denoted by  $S'$ .



## ILLUSTRATIONS

1. The set  $I$  has no limit point, for a nbd  $(m - \frac{1}{2}, m + \frac{1}{2})$  of  $m \in I$ , contains no point of  $I$  other than  $m$ . Thus the derived set of  $I$  is the null set  $\phi$ .
2. Every point of  $R$  is a limit point, for, every nbd of any of its points contains an infinity of members of  $R$ . Therefore  $R' = R$ .
3. Every point of the set  $Q$  of rationals is a limit point of  $Q$ , for, between any two rationals there exists an infinity of rationals. Further every irrational number is also a limit point of  $Q$  for between any two irrationals there are infinitely many rationals. Thus every real number is a limit point of  $Q$ , so that  $Q' = R$ .
4. The set  $\{\frac{1}{n} : n \in N\}$  has only one limit point, zero, which is not a member of the set.
5. Every point of the closed interval  $[a, b]$  is its limit point, and a point not belonging to the interval is not a limit point. Thus the derived set  $[a, b]' = [a, b]$ .
6. Every point of the  $(a, b)$  is its limit point. The end points  $a, b$  which are not members of  $(a, b)$  are also its limit points. Thus  $(a, b)' = [a, b]$ .

A finite set has no limit point. An infinite set may or may not have limit points.

## Bolzano- Weierstrass Theorem(for sets)

Every infinite bounded set has a limit point.

Let  $S$  be any infinite bounded set and  $m, M$  its infimum and supremum respectively. Let  $P$  be a set of real numbers defined as follows:

$x \in P$  iff it exceeds at the most a finite number of members of  $S$ .

The set  $P$  is non empty, for  $m \in P$ . Also  $M$  is an upper bound of  $P$ , for no number greater than or equal to  $M$  can belong to  $P$ . Thus the set  $P$  is non-empty and is bounded above. Therefore by the order-completeness property,  $P$  has the supremum, say  $\xi$ . We shall now show that  $\xi$  is a limit point of  $S$ .

Consider any nbd  $(\xi - \varepsilon, \xi + \varepsilon)$  of  $\xi$ , where  $\varepsilon > 0$ .


Since  $\xi$  is the supremum of  $P$ ,  $\exists$  at least one member say  $\eta$  of  $P$  such that  $\eta > \xi - \varepsilon$ . Now  $\eta$  belongs to  $P$ , therefore it exceeds at the most a finite number of members of  $S$ , and consequently  $\xi - \varepsilon (< \eta)$  can exceed at the most a finite number of members of  $S$ .

Again as  $\xi$  is the supremum of  $P$ ,  $\xi + \varepsilon$  cannot belong to  $P$ , and consequently  $\xi + \varepsilon$  must exceed an infinite number of members of  $S$ .

Now  $\xi - \varepsilon$  exceeds at the most a finite number of members of  $S$  and  $\xi + \varepsilon$  exceeds infinitely members of  $S$ .

$\Rightarrow (\xi - \varepsilon, \xi + \varepsilon)$  contains an infinite number of members of  $S$

Consequently  $\xi$  is a limit point of  $S$ .



**Note:** Boundedness is not necessary in order for an infinite set  $S$  to have a limit point. The set  $S = \{\frac{1}{2}, 2, \frac{1}{3}, 3, \dots\}$  is unbounded and infinite and has the limit point 0. The unbounded interval  $(a, \infty)$  has infinitely many limit points.

## Examples:

1. If  $S$  and  $T$  are subsets of real numbers, then show that

1.  $S \subseteq T \Rightarrow S' \subseteq T'$

2.  $(S \cup T)' = S' \cup T'$

### Soln:

1. If  $S' = \emptyset$  then evidently  $S' \subseteq T'$ .

When  $S' \neq \emptyset$ , let  $\xi \in S'$  and  $N$  be any nbd of  $\xi$ .

$\Rightarrow N$  contains an infinite number of members of  $S$ .

But  $S \subseteq T$ ,  $\therefore N$  contains infinitely many members of  $T$

$\Rightarrow \xi$  is limit point of  $T$ , i.e.,  $\xi \in T'$

Thus  $\xi \in S' \Rightarrow \xi \in T'$ . Hence  $S' \subseteq T'$ .

2. Now  $S \subseteq SUT \Rightarrow S' \subseteq (SUT)'$  and

$$T \subseteq SUT \Rightarrow T' \subseteq (SUT)'$$

Consequently,

$$S' \cup T' \subseteq (S \cup T)' \quad (1)$$

Now we proceed to show that  $(S \cup T)' \subseteq S' \cup T'$ .

If  $(S \cup T)' = \emptyset$ , then evidently  $(S \cup T)' \subseteq S' \cup T'$

When  $(S \cup T)' \neq \emptyset$ , let  $\xi \in (S \cup T)'$

Now  $\xi$  is a limit point of  $S \cup T$ , therefore, every nbd of  $\xi$  contains infinitely many points of  $S$  or  $T$  or Both.

$\Rightarrow \xi$  is a limit point of  $S$  or a limit point of  $T$

$\Rightarrow \xi \in S' \vee \xi \in T'$

$\Rightarrow \xi \in S' \cup T'$

Thus,  $\xi \in (SUT)' \Rightarrow \xi \in S' \cup T'$

Consequently,

$$(S \cup T)' \subseteq S' \cup T' \quad (2)$$

From (1) and (2) it follows that

$$(S \cup T)' = S' \cup T'$$

Thus the derived set of the union = the union of the derived sets.



THANK YOU

